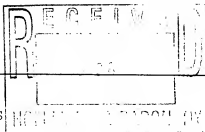




UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



AUGUST 21, 2002

HOFFMANN & BARON, LLP  
DANIEL A. SCOLA, JR.  
6900 JERICHO TURNPIKE  
SYOSSET, NY 11791

PTAS

Chief Information Officer  
Washington, DC 20231  
www.uspto.gov



\*102128843A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/11/2002

REEL/FRAME: 013002/0285  
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

YANG, ROBERT K.

DOC DATE: 04/05/2002

ASSIGNOR:

FUISZ, RICHARD C.

DOC DATE: 04/04/2002

ASSIGNOR:

MYERS, GARY L.

DOC DATE: 04/05/2002

ASSIGNOR:

FUISZ, JOSEPH M.

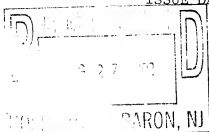
DOC DATE: 04/04/2002

ASSIGNEE:

KOSMOS PHARMA  
1142 WALKER ROAD  
GREAT FALLS, VIRGINIA 22066

SERIAL NUMBER: 10074272  
PATENT NUMBER:

FILING DATE: 02/14/2002  
ISSUE DATE:

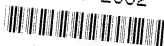


013002/0285 PAGE 2

STEVEN POST, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



06-20-2002



102128843

Sheet No.: 1199-4

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings → → → ▼

To the Honorable Commissioner of Patents and Trademarks,

and original documents or copy thereof.

1. Name of conveying party(ies):

Robert K. Yang  
Richard C. Fuisz  
Gary L. Myers  
Joseph M. Fuisz

6-11-02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **KOSMOS PHARMA**

Internal Address:

Street Address: **1142 Walker Road**

City: **Great Falls**

State: **VA**

ZIP: **22066**

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: **April 4, 2002 and April 5, 2002**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

**10/074,272**

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Daniel A. Scola, Jr.**

Internal Address: **Hoffmann & Baron, LLP**

Street Address: **6900 Jericho Turnpike**

6. Total number of applications and patents involved:

**1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

**09-2461**

(Attach duplicate copy of this page if paying by deposit account)

06/19/2002 DBYRME 00000003 10074272

01 EC:581

40.00 OP

City: **Syosset**

State: **NY**

ZIP: **11791**

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Anna-Lisa Gallo**

Name of Person Signing

Signature

**June 4, 2002**

Date

Total number of pages including cover sheet, attachments, and document:

**7**

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application;  
☐ U.S. Provisional Application;  
☐ U.S. Patent; or  
☐ PCT Application  
By: ☒ Inventors or ☐ Present Owners

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Robert K. Yang

Name

Richard C. Fuisz

Name

138-10 Franklin Ave., Apt. #2C

Address

1287 Ballantrae Farm Drive

Address

Flushing, NY 11355USA

Nationality

McLean, VA 22101USA

Nationality

Gary L. Myers

Name

Joseph M. Fuisz

Name

908 Colfax Avenue

Address

5700 Cricket Place

Address

Kingsport, TN 37660USA

Nationality

McLean, VA 22101USA

Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on \_\_\_\_\_

Reel \_\_\_\_\_

Frame \_\_\_\_\_

hereby sells, assigns and transfers to

ASSIGNEE:

KOSMOS PHARMA  
(Type or print name of ASSIGNEE)

1142 Walker Road  
Address

Great Falls, VA 22066

USA

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent (\_\_\_\_%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

**THIN FILM WITH NON-SELF-AGGREGATING UNIFORM HETEROGENEITY AND  
DRUG DELIVERY SYSTEMS MADE THEREFROM**

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith  
(b) ☐ U.S. patent application executed on \_\_\_\_\_  
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.  
☐ Express Mail label No.: \_\_\_\_\_, mailed: \_\_\_\_\_  
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known  
(d) ☒ U.S. application Serial No. 10/074,272 filed on January 22, 2002  
(e) ☐ International application No. PCT/\_\_\_\_\_/\_\_\_\_\_  
(f) ☐ U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_  
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

- (g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date: X April 5, 2002  
Date of signing

X Robert K. Yang  
Robert K. Yang

Date: X April 4, 2002  
Date of signing

X Richard C. Fuisz  
Richard C. Fuisz

Date: X April 5, 2002  
Date of signing

X Gary L. Myers  
Gary L. Myers

Date: X April 8, 2002  
Date of signing

X Jospeh M. Fuisz  
Jospeh M. Fuisz

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☒ Notarization or Legalization Page Added.

STATE OF Virginia  
SS  
COUNTY OF Fairfax

On this 4 day of April, ~~2002~~, before me personally appeared Joseph M. Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

  
Notary Public

Comm Exp 10/31/02

STATE OF Virginia  
SS  
COUNTY OF Fairfax

On this 4 day of April, ~~2002~~, before me personally appeared Richard C. Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

  
Notary Public

Comm Exp 10/31/02

STATE OF...NEW YORK.....

COUNTY OF...Queens.....

On this ...6<sup>th</sup>... day of ...MAY....., 2002, before me personally appeared Robert Yang,  
to me personally known and known to me to be the person who signed the foregoing  
Assignment and acknowledged the signing of same as her free act and deed.

  
\_\_\_\_\_  
Notary Public

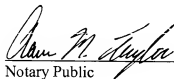
**ROBERT I. KATZ**  
NOTARY PUBLIC, STATE OF NEW YORK  
QUALIFIED IN NASSAU COUNTY  
NO: 01KA8082165  
CERTIFIED IN QUEENS  
COMMISSION EXPIRES JULY 30 2005



STATE OF *Tennessee*  
SS  
COUNTY OF *Sullivan*

*Yang*

On this *8<sup>th</sup>* day of *April*, *2012*, before me personally appeared Robert K. Yang to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

  
Notary Public

STATE OF *Tennessee*  
SS  
COUNTY OF *Sullivan*

*RCF*

On this *5<sup>th</sup>* day of *April*, *2012*, before me personally appeared Richard C. Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

  
Notary Public

STATE OF *Tennessee*  
SS  
COUNTY OF *Sullivan*.

*Myers*

On this *5<sup>th</sup>* day of *April*, *2012*, before me personally appeared Gary L. Myers to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

  
Notary Public

Form PTO-1595 (Rev. 03/01) OMB No. 0851-0027 (sup. 5/31/2002)		RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof					
1. Name of conveying party(ies): Kosmos Pharma Limited			2. Name and address of receiving party(ies) Name: <u>Monosol Rx, LLC</u> Internal Address: _____ Street Address: <u>6560 Melton Road</u>		
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			City: <u>Portage</u> State: <u>Indiana</u> Zip: <u>46368</u>		
3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment Agreement <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other			Additional name(s) & address(es) attached: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Execution Date: <u>January 23, 2004</u>					
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the new application is: _____ A. Patent Application No.(s): <u>10/074,272</u> B. Patent No.(s): _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>R. Ross Viguet</u> <u>FULBRIGHT &amp; JAWORSKI LLP.</u> Internal Address: <u>Atty. Dkt.: 65436-10308504</u> Street Address: <u>2200 Ross Avenue, Suite 2800</u> City: <u>Dallas</u> State: <u>TX</u> Zip: <u>75201-2784</u>			6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41) \$ <u>40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Authorized to be charged to credit card (Form 2036 enclosed) 8. Deposit account number: <u>06-2390</u> (Attach duplicate copy of this page if paying by deposit account)		
DO NOT USE THIS SPACE					
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  R. Ross Viguet Name of Person Signing  Signature: <u>R. Ross Viguet</u> Date: <u>February 8, 2004</u>  Total number of pages including cover sheet, attachments, and documents: <u>6</u>					
<p style="text-align: center;">Recordation Form Cover Sheet</p> <p>I hereby certify that this correspondence is being sent via facsimile 703 306-5458 to the US Patent and Trademark Office, Patent and Trademark Assignment System on the date shown below</p> <p>Dated: February 6, 2004 Signature: <u>Rita Carr</u> (Rita Carr)</p>					

CH 240.00 062380 10074272

**Assignment Agreement**

WHEREAS, Kosmos Pharma Limited, a Delaware corporation, (the "Seller") and Monosol Rx, LLC, a Delaware limited liability company, (the "Buyer") have executed a certain ASSET PURCHASE AND SALE AGREEMENT dated January 22, 2004 whereby the Buyer agreed to sell, assign, convey, transfer and deliver to the Buyer, and the Buyer agreed to purchase, acquire and take assignment and delivery of, all of the right, title and interest of the Seller in and to all intellectual property of every kind and nature, including all inventions, information, data, samples, formulae, specifications, plans, drawings, blueprints, laboratory and engineering notebooks, compositions, processes, designs, technology, know-how, confidential information and trade secrets (whether or not patentable or reduced to practice), confidential or proprietary technical and business information, computer software, domain names, United States and foreign patents, patent applications (including the patent applications listed in Schedule A, and petty patents (including continuations, continuations-in-part, divisions, reissues, re-examinations, extensions, renewals, and patents and patent applications terminally tied to any such patent or patent application), registered and unregistered trade names, brand names, trademarks, service names, service marks, logos and designs (and applications for registration of the same), all goodwill symbolized by or associated with any of them, copyrights and copyright registrations (and applications for the same), extensions, renewals of United States and foreign registrations and applications to register copyrights, technical manuals and documentation made or used in connection with any of the foregoing (the "Intellectual Property") of the Seller listed on Schedule A; all other intellectual property used in the Business (as that term is defined in the ASSET PURCHASE AND SALE AGREEMENT) and any licenses or intellectual property rights thereto; all goodwill and going concern value, if any, relating to the Business; and all rights arising under any of the foregoing Intellectual Property, including the right to collect damages for past infringement.

WHEREAS, this document is intended to be used solely for the purpose of recording the assignment of the Intellectual Property set forth in said ASSET PURCHASE AND SALE AGREEMENT. The actual terms of the grant of rights, as well as a full description of the property covered by the ASSET PURCHASE AND SALE AGREEMENT, and the respective rights and obligations of the parties, are contained in said ASSET PURCHASE AND SALE AGREEMENT and nothing in this document shall change, or in any manner modify, the terms, conditions, rights or obligations as set forth therein.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Seller does hereby grant to the Buyer all of the right, title and interest of the Seller in and to the intellectual property.

IN WITNESS WHEREOF, the Parties have executed and delivered this Agreement on this 23rd day of January, 2004.

**SELLING PARTIES:****KOSMOS PHARMA LIMITED**

By: Joseph M. Fultz  
Name: Joseph M. Fultz  
Title: V.P. General Counsel

**BUYING PARTIES:****MONOSOL RX, LLC**

By: MONOSOL RX GENPAR,  
a Texas limited partnership

By: BRATTON CAPITAL, INC.,  
its general partner

By: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_

Schedule A to Assignment Agreement

Patent Applications

- Thin file with non-self-aggregating uniform heterogeneity and drug delivery systems made therefrom (also with PCT-International)
  - o Application date: 10/12/01 & 10/11/02
  - o Docket number: 1199-4/1199-4PCT; Serial Nos.: 10/074,272; PCT/US02/32575

REDACTED

Swallow Easy Patents

REDACTED

15378009.7

-4-

RECORDED: 02/06/2004

PATENT  
REEL: 014315 FRAME: 0617



**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 10, 2007

PTAS

**\*500311367A\***

\*500311367A\*

NICHOLE E. MARTIAK  
6900 JERICHO TURNPIKE  
HOFFMANN & BARON, LLP  
SYOSSET, NY 11791

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/10/2007

REEL/FRAME: 019537/0247  
NUMBER OF PAGES: 2

BRIEF: NOTICE

DOCKET NUMBER: 1199-4 RCE (0089)

ASSIGNOR:

MONOSOL RX, LLC

DOC DATE: 07/09/2007

ASSIGNEE:

MONOSOLRX, LLC  
6560 MELTON ROAD  
PORTAGE, INDIANA 46368

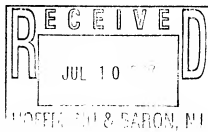
SERIAL NUMBER: 10074272

FILING DATE: 02/14/2002

PATENT NUMBER:

ISSUE DATE:

TITLE: THIN FILM WITH NON-SELF-AGGREGATING UNIFORM HETEROGENEITY AND DRUG DELIVERY SYSTEMS MADE THEREFROM



019537/0247 PAGE 2

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION



PATENT ASSIGNMENT

Electronic Version v1.1  
 Stylesheet Version v1.1

07/10/2007  
 500311367

SUBMISSION TYPE:		NEW ASSIGNMENT
NATURE OF CONVEYANCE:		Notice
CONVEYING PARTY DATA		
Name		Execution Date
MonoSol Rx, LLC		07/09/2007
RECEIVING PARTY DATA		
Name:	MonoSolRx, LLC	
Street Address:	6560 Melton Road	
City:	Portage	
State/Country:	INDIANA	
Postal Code:	46368	
PROPERTY NUMBERS Total: 1		
Property Type	Number	
Application Number:	10074272	
CORRESPONDENCE DATA		
Fax Number:	(973)331-1717	
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>		
Phone:	(973) 331-1700	
Email:	nmartiak@hoffmannbaron.com	
Correspondent Name:	Nichole E. Martiak	
Address Line 1:	6900 Jericho Turnpike	
Address Line 2:	HOFFMANN & BARON, LLP	
Address Line 4:	Syosset, NEW YORK 11791	
ATTORNEY DOCKET NUMBER:	1199-4 RCE (0089)	
NAME OF SUBMITTER:	Nichole E. Martiak	
Total Attachments: 3		
source=1199-4 RCE Notice dated 7-10-07#page1.tif		
source=1199-4 RCE Notice dated 7-10-07#page2.tif		
source=1199-4 RCE Notice dated 7-10-07#page3.tif		

10074272 CH \$40.00



## UNITED STATES PATENT AND TRADEMARK OFFICE

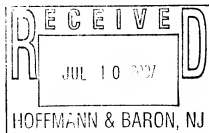
### Facsimile Transmission

To:	Name:	NICHOLE E. MARTIAK
	Company:	6900 JERICO TURNPIKE
	Fax Number:	19733311717
	Voice Phone:	
From:	Name:	ASSIGNMENT SERVICES BRANCH
	Voice Phone:	571-272-3350

37 C.F.R. 1.6 sets forth the types of correspondence that can be communicated to the Patent and Trademark Office via facsimile transmissions. Applicants are advised to use the certificate of facsimile transmission procedures when submitting a reply to a non-final or final Office action by facsimile (37 CFR 1.8(a)).

#### Fax Notes:

Pg#	Description
1	Cover Page
2	528.TXT
4	Document 1, Batch 975882



USPTO ASSIGNMENT SYSTEM PROCESSING

Date and time of transmission: Tuesday, July 10, 2007 4:09:58 PM  
Number of pages including this cover sheet: 04

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appellant(s): Yang, et al.

Examiner: Chan, Sing P.

Application: 10/074,272

Group Art Unit: 1734

Filed: February 14, 2002

Docket: 1199-4 RCE

Confirmation No: 4926

Date: July 10, 2007

For: THIN FILM WITH NON-SELF-  
AGGREGATING UNIFORM  
HETERO-GENEITY AND DRUG  
DELIVERY SYSTEMS MADE  
THEREFROM

**NOTICE**

Sir:

Please record the Notice of Recordation Document as indicated herein below.

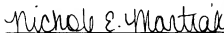
Applicants respectfully requests notice be recorded which states that the assignee of record "Monosol Rx, LLC", is also known as, and does business as, "MonoSolRx, LLC".

In support of this request, a copy of the original notice, which states that MonoSol Rx, LLC is also known as, and does business as, MonoSolRx, LLC, is enclosed herewith.

If any fees are required, please charge same to Deposit Account No. 08-2461.

If there are any comments or questions with respect to this matter, please contact the undersigned attorney.

Respectfully submitted,



Nichole E. Martiak  
Registration No. 55,832  
Attorney for Applicant(s)

HOFFMANN & BARON, LLP  
6900 Jericho Turnpike  
Syosset, New York 11791  
(973) 331-1700

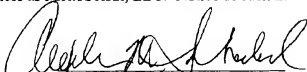
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

- Re: U.S. Patent Application No. 10/074,272  
THIN FILM WITH NON-SELF-AGGREGATING  
UNIFORM HETEROGENEITY AND DRUG DELIVERY  
SYSTEMS MADE THEREFROM  
Attorney Docket: 1199-4 RCE
- Re: U.S. Patent Application No: 10/357,811  
EDIBLE LOW DENSITY HIGH SURFACE AREA DRUG  
VEHICLE, METHOD OF MANUFACTURING LOW DENSITY  
HIGH SURFACE AREA DRUG VEHICLE  
Attorney Docket: 1199-35 RCE II
- Re: U.S. Patent Application No. 10/730,347  
THIN FILM DELIVERY SYSTEM FOR VOLATILE  
DECONGESTANT  
Attorney Docket: 1199-21
- Re: U.S. Patent No. 6,337,083  
ORAL DELIVERY METHOD AND COMPOSITION FOR SOLID  
MEDICATIONS OR DIETARY SUPPLEMENTS

**NOTICE**

Notice is hereby given that MonoSol Rx, LLC is also known as, and does business as, MonoSolRx, LLC.

Accordingly, the assignee of record MonoSol Rx, LLC of the above-referenced U.S. Patent and U.S. Patent Applications also does business as MonoSolRx, LLC. Notice of such in the U.S. Assignment records is hereby requested.

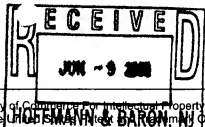


A. Mark Schobel  
Chief Executive Officer  
MonoSol Rx, LLC

7/9/07  
Date



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



MAY 29, 2003

PTAS

HOFFMANN & BARON, LLP  
DANIEL A. SCOLA, JR.  
6900 JERICHO TURNPIKE  
SYOSSET, NY 11791

JUN -6 2003

Under Secretary of Commerce for Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov



\*102350066A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/21/2003

REEL/FRAME: 013688/0136  
NUMBER OF PAGES: 16

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

YANG, ROBERT K.

DOC DATE: 12/24/2002

ASSIGNOR:

FUISZ, RICHARD C.

DOC DATE: 12/27/2002

ASSIGNOR:

MYERS, GARY L.

DOC DATE: 01/07/2003

ASSIGNOR:

FUISZ, JOSEPH M.

DOC DATE: 12/27/2002

ASSIGNEE:

KOSMOS PHARMA  
1142 WALKER ROAD  
GREAT FALLS, VIRGINIA 22066

SERIAL NUMBER: 60397703  
PATENT NUMBER:

FILING DATE: 07/22/2002  
ISSUE DATE:

013688/0136 PAGE 2

TONYA LEE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

01-28-2003

Do No: 1199-13P

IEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



102350066

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Robert K. Yang  
Richard C. Fuisz  
Gary L. Myers  
Joseph M. Fuisz

2. Name and address of receiving party(ies):

Name: KOSMOS PHARMA

Internal Address:

Street Address: 1142 Walker Road

City: Great Falls State: VA ZIP: 22066

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: 12/24/02; 12/27/02; and 1/7/03

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

60/397,703 Filed: 07/22/2002

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Daniel A. Scola, Jr.

Internal Address: Hoffmann & Baron, LLP

01/27/2003 670M11 00000125 60397703

01 FEB02 40.00 DP

Street Address: 6900 Jericho Turnpike

City: Syosset State: NY ZIP: 11791

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

- ☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
☐ Authorized to be charged to deposit account

8. Deposit account number:

08-2461

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John S. Sopko

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

16





Attorney ~~Richard~~ No.: 1199-13P

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application;  
☐ U.S. Provisional Application;  
☐ U.S. Patent; or  
By: ☒ PCT Application  
☒ Inventors or ☐ Present Owners

### ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Robert K. Yang

Name

Richard C. Fuisz

Name

138-10 Franklin Ave., Apt. #2C

Address

1287 Ballantrae Farm Drive

Address

Flushing, NY 11355

USA

Nationality

McLean, VA 22101

USA

Nationality

Gary L. Myers

Name

Joseph M. Fuisz

Name

908 Colfax Avenue

Address

5700 Cricket Place

Address

Kingsport, TN 37660

USA

Nationality

McLean, VA 22101

USA

Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on \_\_\_\_\_

Reel \_\_\_\_\_

Frame \_\_\_\_\_

hereby sells, assigns and transfers to

ASSIGNEE:

KOSMOS PHARMA  
(Type or print name of ASSIGNEE)

1142 Walker Road  
Address

Great Falls, VA 22066

USA  
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent (\_\_\_\_%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

**PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM**

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith  
(b) ☐ U.S. patent application executed on \_\_\_\_\_  
(c) ☐ International PCT application naming the above inventor(s) for the above-identified invention.  
☐ Express Mail label No.: \_\_\_\_\_, mailed: \_\_\_\_\_  
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known  
(d) ☒ U.S. application Serial No. **60/397,703** filed on **July 22, 2002**  
(e) ☐ International application No. PCT/\_\_\_\_\_/\_\_\_\_\_, filed on \_\_\_\_\_  
(f) ☐ U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_  
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.  
(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date: X  
Date of signing

X  
Robert K. Yang

Date: X  
Date of signing

X  
Richard C. Fuisz

Date: X 1/17/03  
Date of signing

X  
Gary L. Myers

Date: X  
Date of signing

X  
Joseph M. Fuisz

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☒ Notarization or Legalization Page Added.

STATE OF  
SS  
COUNTY OF

On this       day of       ,       , before me personally appeared Robert K. Yang to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

\_\_\_\_\_  
Notary Public

STATE OF  
SS  
COUNTY OF

On this       day of       ,       , before me personally appeared Richard C. Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

\_\_\_\_\_  
Notary Public

STATE OF *Tennessee*  
SS  
COUNTY OF *Sullivan*

On this *7th* day of *January*, *2003*, before me personally appeared Gary L. Myers to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

*Sue F. Lott*  
\_\_\_\_\_  
Notary Public

STATE OF  
                  SS  
COUNTY OF

On this        day of                    ,                    , before me personally appeared Joseph M. Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

\_\_\_\_\_  
Notary Public

Attorney's Docket No.: 1199-13P

**PATENT**

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application;  
☐ U.S. Provisional Application;  
☐ U.S. Patent; or  
☐ PCT Application  
By: ☒ Inventors or ☐ Present Owners

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Robert K. Yang

Name

Richard C. Fuisz

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Address

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McLean, VA 22101

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Reel \_\_\_\_\_

Frame \_\_\_\_\_

hereby sells, assigns and transfers to

ASSIGNEE:

KOSMOS PHARMA  
(Type or print name of ASSIGNEE)

1142 Walker Road  
Address

Great Falls, VA 22066

USA  
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent (\_\_\_\_%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

**PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM**

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith  
(b) ☐ U.S. patent application executed on \_\_\_\_\_  
(c) ☐ International PCT application naming the above inventor(s) for the above-identified invention.  
☐ Express Mail label No.: \_\_\_\_\_, mailed: \_\_\_\_\_  
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(d) ☒ U.S. application Serial No. 60/397,703 filed on July 22, 2002  
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(f) ☐ U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_  
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.  
(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

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IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date: X  
Date of signing

X  
Robert K. Yang

Date: X 12/27/02  
Date of signing

X  
Richard C. Fuisz

Date: X  
Date of signing

X  
Gary L. Myers

Date: X Dec 27, 2002  
Date of signing

X  
Joseph M. Fuisz  
Joseph

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☒ Notarization or Legalization Page Added.



STATE OF  
SS  
COUNTY OF

On this       day of       ,       , before me personally appeared Robert K. Yang to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

\_\_\_\_\_  
Notary Public

STATE OF VIRGINIA  
SS  
COUNTY OF FAIRFAX

On this 27<sup>th</sup> day of December 2002, before me personally appeared Richard C. Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

  
\_\_\_\_\_  
Notary Public

THU TRAN

*My commission expires on March 31, 2006*

STATE OF  
SS  
COUNTY OF

On this       day of       ,       , before me personally appeared Gary L. Myers to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

\_\_\_\_\_  
Notary Public

STATE OF VIRGINIA  
SS  
COUNTY OF FAIRFAX

On this 27<sup>th</sup> day of December, 2002, before me personally appeared Joseph M. Fuisz<sup>OK JP</sup> to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.



Notary Public

THU TIRAN

My commission expires on 03/31/2006

Attorney's Docket No.: 1199-13P

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application;  
☐ U.S. Provisional Application;  
☐ U.S. Patent; or  
☐ PCT Application  
By: ☒ Inventors or ☐ Present Owners

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Robert K. Yang

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McLean, VA 22101

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Reel \_\_\_\_\_

Frame \_\_\_\_\_

hereby sells, assigns and transfers to

ASSIGNEE:

KOSMOS PHARMA  
(Type or print name of ASSIGNEE)

1142 Walker Road  
Address

Great Falls, VA 22066

USA  
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent (\_\_\_\_%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

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(e) ☐ International application No. PCT/\_\_\_\_\_/\_\_\_\_\_, filed on \_\_\_\_\_  
(f) ☐ U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_  
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.  
(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

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IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date: <u>X 12/24/2002</u> Date of signing	<u>X Robert K. Yang</u> Robert K. Yang
Date: <u>X</u> Date of signing	<u>X</u> Richard C. Fuisz
Date: <u>X</u> Date of signing	<u>X</u> Gary L. Myers
Date: <u>X</u> Date of signing	<u>X</u> Joseph M. Fuisz

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☒ Notarization or Legalization Page Added.

STATE OF New York  
SS  
COUNTY OF Queens

On this 24th day of December, 2008, before me personally appeared Robert K. Yang to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

Robert K. Katz  
Notary Public

ROBERT K. KATZ  
NOTARY PUBLIC, STATE OF NEW YORK  
QUALIFIED IN NASSAU COUNTY  
NO: 01KAB02106  
CERTIFIED IN QUEENS  
COMMISSION EXPIRES JULY 30 2008

STATE OF  
SS  
COUNTY OF

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally appeared Richard C. Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

\_\_\_\_\_  
Notary Public

STATE OF  
SS  
COUNTY OF

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally appeared Gary L. Myers to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

\_\_\_\_\_  
Notary Public

STATE OF  
SS  
COUNTY OF

On this       day of       ,       , before me personally appeared Joseph M. Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

\_\_\_\_\_  
Notary Public



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

dklt(1199-0014)  
-0074)  
-0032)

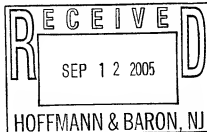
SEPTEMBER 09, 2005

PTAS

\*500049220A\*

\*500049220A\*

JAMIE M. LARMANN  
6900 JERICHO TURNPIKE  
HOFFMANN & BARON, LLP  
SYOSSET, NY 11791-4407



UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 09/09/2005

REEL/FRAME: 016515/0096  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

KOSMOS PHARMA

DOC DATE: 09/01/2005

## ASSIGNEE:

MONOSOLRX, LLC  
6560 MELTON ROAD  
PORTAGE, INDIANA 46368

1199-13P

SERIAL NUMBER: 60397703

FILING DATE: 07/22/2002

PATENT NUMBER:

ISSUE DATE:

TITLE: PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM

SERIAL NUMBER: 10521823

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM



016515/0096 PAGE 2

SERIAL NUMBER: 10521823

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

PCT NUMBER: US0322882

TITLE: PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM

ASSIGNMENT DIVISION

OFFICE OF PUBLIC RECORDS

## PATENT ASSIGNMENT

Electronic Version v1.1  
Stylesheet Version v1.1

09/09/2005  
500049220

SUBMISSION TYPE:

NEW ASSIGNMENT

NATURE OF CONVEYANCE:

ASSIGNMENT

## CONVEYING PARTY DATA

Name	Execution Date
Kosmos Pharma	09/01/2005

## RECEIVING PARTY DATA

Name:	MonoSolRx, LLC
Street Address:	8560 Melton Road
City:	Portage
State/Country:	INDIANA
Postal Code:	46368

## PROPERTY NUMBERS Total: 3

Property Type	Number
Application Number:	60397703
Application Number:	10521823
PCT Number:	US0322882

## CORRESPONDENCE DATA

Fax Number: (973)331-1717

*Correspondence will be sent via US Mail when the fax attempt is unsuccessful.*

Phone: 973-331-1700

Email: jlarman@hoffmannbaron.com

Correspondent Name: Jamie M. Larmann

Address Line 1: 6900 Jericho Turnpike

Address Line 2: Hoffmann &amp; Baron, LLP

Address Line 4: Syosset, NEW YORK 11791-4407

NAME OF SUBMITTER:

Jamie M. Larmann

Total Attachments: 3

source=Assignment from Kosmos to MonoSol#page1.tif

source=Assignment from Kosmos to MonoSol#page2.tif

CH \$120.00 60397703

source=Assignment from Kosmos to MonoSol#page3.tif

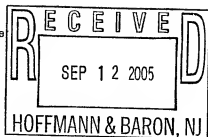


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              Fax Number:  
              Voice Phone: 703-308-9723

To:         Name:       SUBMITTER  
              Company:   Faxed to Submitter's Fax Number  
              Fax Number: 19733311717  
              Voice Phone:



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Pg#	Description
1	Cover Page
2	185.TXT
4	Document 1, Batch 525424

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## Electronic Patent Assignment System

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Your assignment has been received by the USPTO.  
The coversheet of the assignment is displayed below:

## PATENT ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Kosmos Pharma	09/01/2005
RECEIVING PARTY DATA	
Name:	MonoSolRx, LLC
Street Address:	6560 Melton Road
City:	Portage
State/Country:	INDIANA
Postal Code:	46368
PROPERTY NUMBERS Total: 3	
Property Type	Number
Application Number:	60397703
Application Number:	10521823
PCT Number:	US0322882
CORRESPONDENCE DATA	
Fax Number:	(973)331-1717
Correspondence will be sent via US Mail when the fax attempt is unsuccessful.	
Phone:	973-331-1700
Email:	jlarman@hoffmannbaron.com

<b>Correspondent Name:</b> Jamie M. Larmann <b>Address Line 1:</b> 6900 Jericho Turnpike <b>Address Line 2:</b> Hoffmann & Baron, LLP <b>Address Line 4:</b> Syosset, NEW YORK 11791-4407	
<b>NAME OF SUBMITTER:</b>	Jamie M. Larmann
<b>Signature:</b>	/Jamie M. Larmann/
<b>Date:</b>	09/09/2005
<b>Total Attachments: 3</b> source=Assignment from Kosmos to MonoSol#page1.tif source=Assignment from Kosmos to MonoSol#page2.tif source=Assignment from Kosmos to MonoSol#page3.tif	
<b>RECEIPT INFORMATION</b>	
EPAS ID: PAT52407 Receipt Date: 09/09/2005 Fee Amount: \$120	

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For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application;  
☒ U.S. Provisional Application;  
☐ U.S. Patent; or  
☒ PCT Application  
By: ☐ Inventors or ☒ Present Owners

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Kosmos Pharma  
Name

1142 Walker Road  
Address

Great Falls, Virginia 22066

USA  
Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on 01/21/2003

Reel 013688

Frame 0136

hereby sells, assigns and transfers to

ASSIGNEE:

MonoSolRx, LLC  
(Type or print name of ASSIGNEE)

6560 Melton Road  
Address

Portage, Indiana 46368

USA  
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent (\_\_\_\_%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

**PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM**

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith  
(b) ☐ U.S. patent application executed on \_\_\_\_\_  
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.  
☐ Express Mail label No.: \_\_\_\_\_, mailed: \_\_\_\_\_  
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known  
(d) ☒ U.S. application Serial No. 60/397,703 filed on July 22, 2002 and U.S. application Serial No. 10/521,823 filed on July 21, 2005  
(e) ☒ International application No. PCT/US03/22882  
(f) ☐ U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_  
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.  
(g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said



Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

above. **WARNING:** Date of signing must be the same as the date of execution of the application if item (a) was checked

Date: **X** 9/1/05  
Date of signing

**X** [Signature]  
Joseph Futsz  
Title: VP  
For Kosmos Pharma

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☒ Notarization or Legalization Page Added.

[Signature]  
NIR

STATE OF  
SS  
COUNTY OF

On this       day of       ,       , before me personally appeared Joseph Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

Notary Public

NICHOLE E. MARTIAK COMP. 1:6900 JERICO TURNPIKE



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 15, 2007

PTAS



\*500335198A\*

NICHOLE E. MARTIAK  
6900 JERICO TURNPIKE  
HOFFMANN & BARON, LLP  
SYOSSET, NY 11791UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 08/15/2007

REEL/FRAME: 019698/0054  
NUMBER OF PAGES: 9

BRIEF: CORRECTIVE ASSIGNMENT TO CORRECT THE THE NAME OF THE ASSIGNEE TO MONOSOL RX, LLC PREVIOUSLY RECORDED ON REEL 016515 FRAME 0096. ASSIGNOR(S) HEREBY CONFIRMS THE CORRECT SPELLING OF THE ASSIGNEE IS MONOSOL RX, LLC.

DOCKET NUMBER: 1199-13 PCT/US (0074)

## ASSIGNOR:

KOSMOS PHARMA

DOC DATE: 09/01/2005

## ASSIGNEE:

MONOSOL RX, LLC  
6560 MELTON ROAD  
PORTAGE, INDIANA 46368

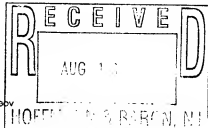
SERIAL NUMBER: 10521823

FILING DATE: 11/07/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM



USPTO

8/16/2007 9:13:01 AM PAGE 3/005 Fax Server

0:NICHOLE E. MARTIAK COMP. f:6900 JERICO TURNPIKE

019698/0054 PAGE 2

SERIAL NUMBER: 60397703

FILING DATE: 07/22/2002

PATENT NUMBER:

ISSUE DATE:

TITLE: PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM

PAULA MCCRAY-STANLEY, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

NICHOLE E. MARTIAK COMP. Y:6900 JERICO TURNPIKE

## PATENT ASSIGNMENT

Electronic Version v1.1

08/15/2007

Stylesheet Version v1.1

500335198

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT										
NATURE OF CONVEYANCE:	Corrective Assignment to correct the the name of the Assignee to MonoSol Rx, LLC previously recorded on Reel 016515 Frame 0096. Assignor(s) hereby confirms the correct spelling of the Assignee is MonoSol Rx, LLC.										
CONVEYING PARTY DATA											
<table border="1"><tr><th>Name</th><th>Execution Date</th></tr><tr><td>Kosmos Pharma</td><td>09/01/2005</td></tr></table>	Name	Execution Date	Kosmos Pharma	09/01/2005							
Name	Execution Date										
Kosmos Pharma	09/01/2005										
RECEIVING PARTY DATA											
<table border="1"><tr><td>Name:</td><td>MonoSol Rx, LLC</td></tr><tr><td>Street Address:</td><td>6560 Melton Road</td></tr><tr><td>City:</td><td>Portage</td></tr><tr><td>State/Country:</td><td>INDIANA</td></tr><tr><td>Postal Code:</td><td>46368</td></tr></table>	Name:	MonoSol Rx, LLC	Street Address:	6560 Melton Road	City:	Portage	State/Country:	INDIANA	Postal Code:	46368	
Name:	MonoSol Rx, LLC										
Street Address:	6560 Melton Road										
City:	Portage										
State/Country:	INDIANA										
Postal Code:	46368										
PROPERTY NUMBERS Total: 2											
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Property Type	Number										
Application Number:	10521823										
Application Number:	60397703										
CORRESPONDENCE DATA											
Fax Number: (973)331-1717 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>											
Phone: (973) 331-1700											
Email: nmartiak@hoffmannbaron.com											
Correspondent Name: Nichole E. Martiak											
Address Line 1: 6900 Jericho Turnpike											
Address Line 2: HOFFMANN & BARON, LLP											
Address Line 4: Syosset, NEW YORK 11791											
ATTORNEY DOCKET NUMBER:	1199-13 PCT/US (0074)										
NAME OF SUBMITTER:	Nichole E. Martiak										
Total Attachments: 9											

CH \$80.00 10521823

NICHOLE E. MARTIAK COMP. Y:6900 JERICO TURNPIKE

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source=1199-13 PCT-US Assignment#page9.tif



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

*dkf(199-0014)*  
-0074)  
-0032)

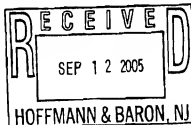
SEPTEMBER 09, 2005

PTAS

\*500049220A\*

\*500049220\*

JAMIE M. LARMANN  
6900 JERICHO TURNPIKE  
HOFFMANN & BARON, LLP  
SYOSSET, NY 11791-4407



UNITED STATES PATENT AND TRADEMARK OFFICE  
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RECORDATION DATE: 09/09/2005

REEL/FRAME: 016515/0096  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
KOSMOS PHARMA

DOC DATE: 09/01/2005

ASSIGNEE:  
~~MONOSOLRX, LLC~~  
6560 MELTON ROAD  
PORTAGE, INDIANA 46368

*1199-13P* *Monosol Rx, LLC* *REL* *7/16/2007*  
*255 55,832*

SERIAL NUMBER: 60397703

FILING DATE: 07/22/2002

PATENT NUMBER:

ISSUE DATE:

TITLE: PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM

SERIAL NUMBER: 10521823

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM

016515/0096 PAGE 2

SERIAL NUMBER: 10521823

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

PCT NUMBER: US0322882

TITLE: PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM

ASSIGNMENT DIVISION

OFFICE OF PUBLIC RECORDS



## PATENT ASSIGNMENT

Electronic Version v1.1  
Stylesheet Version v1.1

09/09/2005  
500049220

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Kosmos Pharma	09/01/2005
RECEIVING PARTY DATA	
Name:	MonoSol Rx, LLC (NEW) 7/16/2007
Street Address:	6560 Mellon Road Reg # 55,882
City:	Portage
State/Country:	INDIANA
Postal Code:	46368
PROPERTY NUMBERS Total: 3	
Property Type	Number
Application Number:	60397703
Application Number:	10521823
PCT Number:	US0322882
CORRESPONDENCE DATA	
Fax Number:	(973)331-1717
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	973-331-1700
Email:	jlamann@hoffmannbaron.com
Correspondent Name:	Jamie M. Lammann
Address Line 1:	6900 Jericho Turnpike
Address Line 2:	Hoffmann & Baron, LLP
Address Line 4:	Syosset, NEW YORK 11791-4407
NAME OF SUBMITTER:	Jamie M. Lammann
Total Attachments: 3 source=Assignment from Kosmos to MonoSol#page1.tif source=Assignment from Kosmos to MonoSol#page2.tif	

CH 5120 OC 60397703

nightrax

9/9/05 8:53

PAGE 005/005

Fax Server

source=Assignment from Koamos to MonoSol#page3.tif

Attorney's Docket No.: 1199-13P

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application;  
☒ U.S. Provisional Application;  
☐ U.S. Patent; or  
☒ PCT Application  
By: ☐ Inventors or ☒ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Kosmos Pharma  
Name  
1142 Walker Road  
Address  
Great Falls, Virginia 22066  
USA  
Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on 01/21/2003

Reel 013688  
Frame 0136

hereby sells, assigns and transfers to

ASSIGNEE:

~~MonoSol Rx, LLC~~ MonoSol Rx, LLC  
(Type or print name of ASSIGNEE)  
6560 Melton Road  
Address  
Portage, Indiana 46368  
USA  
Nationality

Men 7/16/2007  
Reg # 55,832

Attorney's Docket No.: 1122-13P

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application;  
☒ U.S. Provisional Application;  
☐ U.S. Patent; or  
By: ☐ PCT Application  
☐ Inventors or ☒ Patent Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (Inventor(s) or person(s) or entity(ies) who own the invention)

Kosmos Pharma  
Name  
1142 Walker Road  
Address  
Great Falls, Virginia 22066  
USA  
Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on 01/21/2003

Reel 013688

Frame 0136

hereby sells, assigns and transfers to

ASSIGNEE:

MonoSol Rx, LLC  
(Type or print name of ASSIGNEE)  
6560 Melton Road  
Address  
Portage, Indiana 46368  
USA  
Nationality

per 7/16/2007  
Aug 13, 2007

JP  
8/1/07

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent (\_\_\_\_%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

**PACKAGING AND DISPENSING OF RAPID DISSOLVE DOSAGE FORM**

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith  
(b) ☐ U.S. patent application executed on \_\_\_\_\_  
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.  
☐ Express Mail label No.: \_\_\_\_\_, mailed: \_\_\_\_\_  
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known  
(d) ☒ U.S. application Serial No. 60/397,703 filed on July 22, 2002 and U.S. application Serial No. 10/521,823 filed on July 21, 2005  
(e) ☒ International application No. PCT/US03/22882  
(f) ☐ U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_  
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.  
(g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said

Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date:

X

9/1/05  
Date of signing

X

Joseph Futsz

Title:

For Kosmos Pharma

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☒ Notarization or Legalization Page Added.

STATE OF  
SS  
COUNTY OF

On this      day of      ,      before me personally appeared Joseph Fuisz to me personally known and known to me to be the person who signed the foregoing Assignment and acknowledged the signing of same as his/her free act and deed.

Notary Public